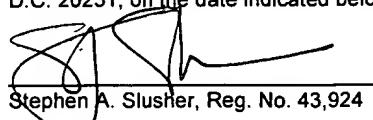


PATENT

3737

#3/IDS 2/18/02

I hereby certify that ~~the above MARK~~ is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

  
Stephen A. Slusher, Reg. No. 43,924

17 Aug 2001  
Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: GEORGE R. SCHWARTZ : Art Unit: 3737  
Serial No.: 09/895,623 : Examiner: Unknown  
Filed: June 27, 2001 :  
For: APPARATUS FOR RAPID :  
COOLING OF THE BRAIN AND :  
METHOD OF PERFORMING SAME :

AUG 23 2001

TECHNOLOGY CENTER R3700

RECEIVED

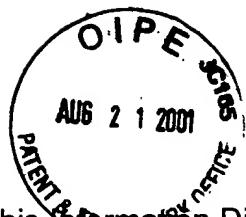
Commissioner for Patents  
Washington, D.C. 20231

SIR:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.



- 2 -

This Information Disclosure Statement is being filed within three months of the filing date of this application and prior to the mailing date of a first Office action. Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Dated: 17 Aug 2001

A handwritten signature in black ink, appearing to read "S. A. Slusher".

Stephen A. Slusher, Reg. No. 43,924  
Direct Line (505) 998-6130

Enclosures: PTO Form 1449;  
Copies of six (6) References

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(505) 998-1500

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